

APR. 1. 2002 3:00PM

NO. 6015 P. 4/17
(S)



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Matthew J. Dowd
Aaron L. Schwartz
Angelique G. Uy
Boris A. Malenkova
Mary B. Jung

*Admitted only in Maryland
+Admitted only in Virginia
**Admitted only in Texas

APR 01 2002

COPY OF PAPERS
ORIGINALY FILED

03/01/02

March 1, 2002

WRITER'S DIRECT NUMBER:
(202) 371-2667

INTERNET ADDRESS:
MIKEM@SKGF.COM

Commissioner for Patents
Washington, D.C. 20231

Box: Missing Parts

Re: U.S. Utility Patent Application
Appl. No. 09/939,798; Filed: August 28, 2001
For: Method and System for Direct Access to Web Content via a Telephone
Inventors: Arthur I. LAURSEN et al.
Our Ref: 2013.0060000

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated October 1, 2001, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17;
2. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
3. Copy of the Notice to File Missing Parts;
4. Original Declaration, executed by the inventors;
5. One (1) return postcard; and
6. Our check no. 34376 for \$1,081.00 to cover:
\$370.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);
\$186.00 Extra claims fee (37 C.F.R. 1.16);
\$.65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and
\$460.00 Three (3) month Extension of time fee Under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

Sterne, Kessler, Goldstein & Fox PLLC : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 : 202.371.2540 : www.skf.com

Commissioner for Patents
March 1, 2002
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Albert J. Fasulo II Albert J. Fasulo II
for Michael V. Messinger Reg. No. 43,607
Attorney for Applicants
Registration No. 37,575

Enclosures

P:\USERS\Derboks\MYIM file\2013006.0\reply ntimp pto cvr ltr

Sterne, Kessler, Goldstein & Fox, LLP : 1100 New York Avenue, NW : Washington, DC 20005 : 202 371 2600 f 202 371 2540 . www.skg.com

OIFP

APR 1 2002 3:01PM

NO. 6015 P. 6/17

APR 01 2002

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,081.00)

Complete if Known

Application Number	09/338,788
Filing Date	August 28, 2001
First Named Inventor	Arthur I. LAURSEN
Examiner Name	To Be Assigned
Group Art Unit	2861
Attorney Docket No.	2013.0060000

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)				
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:		3. ADDITIONAL FEES				
Deposit Account Number	19-0036	Large Entity Fee Code (\$)	Fee (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description
Deposit Account Name	Stone, Kessler, Goldstein & Fox P.L.L.C.	105	130	205	65	Surcharge - late filing fee or oath
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		127	50	227	25	Surcharge - late provisional filing fee or cover sheet
<input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		139	130	139	130	Non-English specification
2. <input checked="" type="checkbox"/> Payment Enclosed:		147	2,520	147	2,520	For filing a request for ex parte reexamination
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other		112	920*	112	920*	Requesting publication of SIR prior to Examiner action
Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.		113	1,840	113	1,840*	Requesting publication of SIR after Examiner action
FEE CALCULATION						
1. BASIC FILING FEE						
Large Entity Fee Code (\$)	Fee (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description		
101	740	201	370	Utility filing fee		
106	330	206	165	Design filing fee		
107	510	207	255	Plant filing fee		
108	740	208	370	Reissue filing fee		
114	160	214	80	Provisional filing fee		
SUBTOTAL (1) (\$)		370.00				
2. EXTRA CLAIM FEES						
Extra	Fee from below	Fee Paid				
Total Claims 22 - 20** = 2	X 9.00	= 18.00				
Indep. Claims 7 - 3** = 4	X 42.00	= 168.00				
Multiple Dependent		=				
Large Entity Fee Code (\$)	Fee (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Description		
103	18	203	9	Claims in excess of 20		
102	84	202	42	Independent claims in excess of 3		
104	280	204	140	Multiple dependent claim		
108	84	208	42	**Reissue independent claims over original patent		
110	18	210	9	**Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2) (\$)		166.00				
** or number previously paid, if greater. For Reissues, see above						
Other fee (specify):						
Other fee (specify):						
*Reduced by Basic Filing Fee Paid						
SUBMITTED BY		Complete (if applicable)				
Name (Print/Type)	Michael V. Messinger	for	Registration No.	37,575	Telephone	202-371-2600
Signature	Albert J. Fasulo II Reg. No. 43,607			Date	March 1, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SKOF Rev. 10/01/01

PA1USGRSUZcnnkMVM G020131006 Overly lengthy fee transacti

APR. 1. 2002 3:00PM

OIPE
APR 01 2002 NO. 6015 P. 2/17



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/939,798	08/28/2001	Laursen, Arthur	2013.0060000

26111

STERNE, KESSLER, GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE, N.W., SUITE 600
WASHINGTON DC 20005-3934

MAR 26 2002

Title: Method and system for direct access to web content via a telephone

DOCKETED

Fax/Hand carry Reply due April 5, 2002 Date Mailed: 03/22/2002

4/1

Request for Substitute Papers

The papers filed on 03/01/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Albert J. Fasulo, II Registration No.: 43,607

Signature: Albert J. Fasulo Date: 4/1/02

APR 1. 2002 3:00PM

STERNE, KESSLER, GOLDSTEIN & FOX PLLC
ATTORNEYS AT LAW
GOVERNMENT FEES ACCT.
1100 NEW YORK AVE. NW, SUITE 800
WASHINGTON, D.C. 20005

NO. 6015 P. 3/17

REMITTA	ADVICE

34376

15-122

\$40

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DATE	TO THE ORDER OF
3/1/02	COMM. OF PATENTS & TRADEMARKS
	09/939,798
	NEMP Filing
FIRST UNION BANK • WASHINGTON, D.C. 20005	

2013.0060000 AEAG, ICL, F, EOT

2000

103437610 10540012201 2076500307989#

Due Date: March 1, 2002

OIP/E

Applicants: Arthur I. LAURSEN et al.

Due Date: March 1, 2002

APR 01 2002

Application No.: 09/939,798

Art Unit: 2661

Filed: August 28, 2001

Examiner: To Be Assigned

For: Method and System for Direct Access to Web Content via a Telephone

Docket: 2013.0060000

Atty: MVM

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. SKGF Cover Letter;
2. PTO Fee Transmittal Form PTO/SB/17;
3. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1);
4. Copy of the Notice to File Missing Parts;
5. Original Declaration, executed by the inventors;
6. One (1) return postcard; and
7. Our check no. 34376 for \$1,081.00 to cover:
\$370.00 Filing Fee for Patent Application (37 C.F.R. § 1.16);
\$186.00 Extra claims fee (37 C.F.R. 1.16);
\$ 65.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16);
\$460.00 Three (3) month Extension of time fee Under 37 C.F.R. § 1.136.



Please Date Stamp And Return To Our Courier

Box: Missing Parts



Robert Greene Sterne
Edward J. Kessler
Jorge A. Goldstein
David K.S. Connell
Robert M. Baumard
Theo Gheen G. Durkin
Michaela A. Cimbala
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* Admitted only in Maryland
- Admitted only in Virginia
** Admitted only in Texas

APP 01 2002

March 1, 2002

**COPY OF PAPERS
ORIGINALY FILED**

83101/02

WRITER'S DIRECT NUMBER:

(202) 371-2667

INTERNET ADDRESS:

MTKEM@SKGF.COM

The Honorable Director
of Patents and Trademarks
Washington, D.C. 20231

Box: Assignment

Re: U.S. Non-Provisional Patent Application
Appl. No. 09/939,798; Filed: August 28, 2001
For: Method and System for Direct Access to Web Content via a Telephone
Inventors: Arthur I. LAURSEN *et al.*
Our Ref: 2013.0060000

Sir:

Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Recordation Form Cover Sheet (Form PTO-1595);
2. Assignment to IP Unity, official recordation and return of which are respectfully requested;
3. PTO Fee Transmittal Form (PTO/SB/17);
4. One (1) return postcard; and
5. Our check no. 34375 for \$40.00 to cover recordation fee (37 C.F.R. § 1.21).

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The Honorable Director
of Patents and Trademarks
March 1, 2002
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Albert J. Fusulo II, Albert J. Fusulo II
P.W. Michael V. Messinger Ref. No. 43,607
Attorney for Applicants
Registration No. 37,575

Enclosures

PAUSERS\crooks\VM file\2013W006\Assignment filing p10 cvr.ltr

STERNE, KESSLER, GOLDSTEIN & FOX, P.L.C. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 (202.371.2540 : 800.424.4700)

APR. 1. 2002 3:04PM

NO. 6015 P. 17/17

PTO/SB/17 (0-01)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCEOIPA
APR 01 2002**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 40.00)

Complete if Known

Application Number	08/939,798
Filing Date	August 28, 2001
First Named Inventor	Arthur I. LAURGEN
Examiner Name	To Be Assigned
Group Art Unit	2861
Attorney Docket No.	2013.0060000

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number	19-0036
Deposit Account Name	Steiner, Keaster, Goldstein & Fox P.L.L.C.

- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- Applicant claims small entity status
See 37 CFR 1.27

2. Payment Enclosed:

- Check Credit card Money Order Other
- *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEES CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370
106	930	208	165
107	510	207	255
108	740	208	370
114	160	214	80
SUBTOTAL (1) (\$)			0.00

2. EXTRA CLAIM FEES

Extra Fee from below	Fee Paid
Total Claims - 20** =	X =
Indep. Claims - 3** =	X =
Multiple Dependent	=
Fee Description	
103 18 203 9	Claims in excess of 20
102 94 202 42	Independent claims in excess of 3
104 280 204 140	Multiple dependent claim
108 94 208 42	Reissue independent claims over original patent
110 18 210 9	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)	
** or number previously paid, if greater. For Reissues, see above	

FEES CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code (\$)	Fee Description	Fee Paid
106	180	205	65 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for ex parte reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	920	217	460 Extension for reply within third month
118	1,440	218	720 Extension for reply within fourth month
128	1,960	228	980 Extension for reply within fifth month
119	320	219	160 Notice of Appeal
120	320	220	160 Filing a brief in support of an appeal
121	280	221	140 Request for oral hearing
138	1,610	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,280	241	640 Petition to revive - unintentional
142	1,280	242	640 Utility issue fee (or reissue)
143	460	243	230 Design issue fee
144	620	244	310 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	130	123	130 Petitions related to provisional applications
126	180	126	180 Submission of Information Disclosure Stmt
581	40	481	40 Recording each patent assignment per property (times number of properties)
146	740	248	370 Filing & submission after final rejection (37 CFR 1.128(a))
149	740	249	370 For each additional invention to be examined (37 CFR 1.129(b))
179	740	279	370 Request for Continued Examination (RCE)
169	900	169	900 Request for expedited examination of a design application

Other fee (specify):

Other fee (specify):

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00**Complete (if applicable)**

Name (Print/Type)	Michael V. Messinger	Registration No. (Attorney/Agent)	37,575	Telephone	202-371-2600
Signature	(Albert J. Fasulo II)	Reg. No. 43,607	Date	March 1, 2002	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SKP Rev. 10/01/01 (inc.)

M-100851-Democracy-MVM (Rev. 10/14/06) Classification filing fee transac-



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/939,798	08/28/2001	Laursen, Arthur	2013.0060000

26111

STERNE, KESSLER, GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE, N.W., SUITE 600
WASHINGTON DC 20005-3934

Title: Method and system for direct access to web content via a telephone

Date Mailed:03/22/2002

Request for Substitute Papers

The papers filed on 03/01/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: _____ Registration No.: _____

Signature: _____ Date: _____

APR. 1. 2002 3:02PM

NO. 6015 R-8/17
Page 1 of 1

APR 01 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,798	08/28/2001	Arthur I. Laursen	2013.0060000

26111
STERNE, KESSLER, GOLDSTEIN & FOX PLLC
1100 NEW YORK AVENUE, N.W., SUITE 600
WASHINGTON, DC 20005-3934

COPY OF PAPERS
ORIGINALLY FILED

01/01/01

CONFIRMATION NO. 2881

FORMALITIES LETTER



"OC000000006822383"

Date Mailed: 10/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$356.
 - \$36 for 2 total claims over 20.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1196.

A copy of this notice MUST be returned with the reply.

AMV

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE